

ATTORNEY DOCKET NO. 17101.0003U2
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)	
)	
Ober, et al.)	Art Unit: 2165
)	
Application No. 10/801,086)	Examiner: Hassan Mahmoudi
)	
Filing Date: March 15, 2004)	Confirmation No. 9464
)	
For: "SYSTEM AND METHOD FOR)	
GENERATING DE-IDENTIFIED)	
HEALTH CARE DATA")	

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NEEDLE & ROSENBERG, P.C.
Customer Number 23859

Sir:

Pursuant to the requirements of 37 C.F.R. § 1.56, submitted herewith on the accompanying Information Disclosure Statement List is a listing of documents known to Applicants and/or their attorneys. See Information Disclosure Statement List and Exhibit A attached hereto and their attachments.

In accordance with the provisions of M.P.E.P. § 2001.06(b) and 37 C.F.R. § 1.98(b)(3), Applicants would like to bring to the attention of the Examiner the existence of the co-pending patent application(s) identified below, which were filed in the United States Patent and Trademark Office:

ATTORNEY DOCKET NO. 17101.0003U2
APPLICATION NO. 10/801,086

	<u>Application No.</u>	<u>Date Filed</u>	<u>Inventors</u>	<u>Attorney Docket No.</u>
1.	09/665,752	09-20-2000	Ober <i>et al</i>	17101.0002U1

In accordance with 37 C.F.R. § 1.98(a)(2), copies of any cited U.S. patent or U.S. patent application publication documents are not enclosed. Copies of any cited foreign patent document and/or any non-patent publication are enclosed.

This Information Disclosure Statement is believed to be filed in a timely manner pursuant to 37 C.F.R. § 1.97(b)(3), in that a first Office Action on the merits of the present patent application has not yet been mailed to Applicants.

Consideration of the cited documents and making the same of record in the prosecution of the above-referenced application are respectfully requested.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0629.

Respectfully submitted,

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APPLICATION NO. 10/801,086

INFORMATION DISCLOSURE STATEMENT LIST (Use as many sheets as necessary)				Complete if Known			
				Application Number	10/801,086		
				Filing Date	March 15, 2004		
				First Named Inventor	Ober, et al.		
				Group Art Unit	2165		
				Examiner Name	Hassan Mahmoudi		
U.S. PATENT DOCUMENTS							
Examiner's Initials	Cite No.	Document No.	Date	Name	Class	Subclass	Filing Date (if appropriate)
		6,421,650	07-16-2002	Goetz, et al.	705	3	
		6,302,844	10-16-2001	Walker, et al.	600	300	
		6,266,675	07-24-2001	Evans, et al.	707	104	
NON-PATENT DOCUMENTS							
Examiner's Initials	Cite No.	Non-Patent Citations (include Author, Title, Publisher, Relevant Pages, Date and Place of Publication)					
		CHAUDHURI SET AL: "An Overview of Data Warehousing and OLAP Technology" SIGMOD RECORD, SIGMOD, NEW YORK, NY, US, vol. 26, no. 1, March 1997 (1997-03), pages 65-74, XP002193792, ISSN: 0163-5808.					
		BRANNIGAN V M AND BEIER B R: "Patient privacy in the era of medical computer networks: a new paradigm for a new technology." PROCEEDINGS OF THE EIGHTH WORLD CONGRESS ON MEDICAL INFORMATICS, vol. 8, no. 1, 23 July 1995 (1995-07-23), - 27 July 1995 (1995-07-27) pages 640-643, XP009040274, VANCOUVER, BRITISH COLUMBIA, CANADA, ISSN: 1569-6332.					
		QUANTIN C ET AL: "How to ensure data security of an epidemiological follow-up: quality assessment of an anonymous record linkage procedure" INTERNATIONAL JOURNAL OF MEDICAL INFORMATICS, ELSEVIER SCIENTIFIC PUBLISHERS, SHANNON, IR, vol. 49, no. 1, March 1998 (1998-03), pages 117-122, XP004149470, ISSN: 1386-5056.					
		ANDERSON R J: "A security policy model for clinical information systems" SECURITY AND PRIVACY, 1996. PROCEEDINGS., 1996 IEEE SYMPOSIUM ON OAKLAND, CA, USA 6-8 MAY 1996, LOS ALAMITOS, CA, USA, IEEE COMPUT. SOC. US, 6 May 1996 (1996-05-06), pages 30-43, XP010164923, ISBN: 0-8186-7417-2.					
		Supplementary European Search Report of EP 00 96 5216.					
		International Search Report of PCT/US00/25818.					
Examiner Signature:				Date Considered:			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

EXHIBIT A

The following is the Transaction History for U.S. Patent Application Serial No. 09/665,752 as of September 5, 2007, from the USPTO Patent Application Information Retrieval (PAIR) system. A copy of the Image File Wrapper for the same application, as shown in the Transaction History below, is submitted herewith for purposes of 37 C.F.R. § 1.56 and M.P.E.P. § 2001.06(b).

Date	Transaction Description
03-05-2007	Reference capture on IDS
03-05-2007	Information Disclosure Statement (IDS) Filed
03-05-2007	Information Disclosure Statement (IDS) Filed
03-16-2007	Mail Final Rejection (PTOL - 326)
03-14-2007	Final Rejection
01-11-2007	Date Forwarded to Examiner
12-19-2006	Response after Non-Final Action
12-19-2006	Request for Extension of Time - Granted
09-19-2006	Mail Notice of Informal or Non-Responsive Amendment
08-04-2006	IFW TSS Processing by Tech Center Complete
07-03-2006	Date Forwarded to Examiner
06-16-2006	Informal or Non-Responsive Amendment after Examiner Action
06-16-2006	Response after Non-Final Action
06-16-2006	Request for Extension of Time - Granted
12-16-2005	Mail Non-Final Rejection
11-28-2005	Non-Final Rejection
11-21-2005	Date Forwarded to Examiner
11-21-2005	Date Forwarded to Examiner
11-03-2005	Request for Continued Examination (RCE)
11-21-2005	DISPOSAL FOR A RCE/CPA/129 (express abandonment if CPA)

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11-03-2005	Request for Extension of Time - Granted
09-06-2005	Notice of Appeal Filed
09-06-2005	Request for Extension of Time - Granted
11-03-2005	Workflow - Request for RCE - Begin
10-06-2005	Case Docketed to Examiner in GAU
03-04-2005	Mail Final Rejection (PTOL - 326)
03-03-2005	Final Rejection
10-16-2000	Reference capture on IDS
01-05-2005	Date Forwarded to Examiner
10-08-2004	Response after Non-Final Action
10-08-2004	Request for Extension of Time - Granted
10-08-2004	Workflow incoming amendment IFW
10-13-2004	Case Docketed to Examiner in GAU
05-05-2004	Mail Non-Final Rejection
05-03-2004	Non-Final Rejection
04-28-2004	Case Docketed to Examiner in GAU
04-15-2004	Transfer Inquiry to GAU
03-01-2004	Case Docketed to Examiner in GAU
03-04-2003	Case Docketed to Examiner in GAU
09-09-2002	Information Disclosure Statement (IDS) Filed
09-09-2002	Information Disclosure Statement (IDS) Filed
04-23-2002	Case Docketed to Examiner in GAU
04-23-2002	Case Docketed to Examiner in GAU
10-16-2000	Information Disclosure Statement (IDS) Filed
10-16-2000	Information Disclosure Statement (IDS) Filed
03-13-2001	Case Docketed to Examiner in GAU
02-27-2001	Application Dispatched from OIPE
02-27-2001	Application Is Now Complete
11-01-2000	Notice Mailed--Application Incomplete--Filing Date Assigned
11-01-2000	Correspondence Address Change

ATTORNEY DOCKET NO. 17101.0003U2
APPLICATION NO. 10/801,086

10-10-2000	IFW Scan & PACR Auto Security Review
09-20-2000	Initial Exam Team nn